

Brokers to be Approved by the Funds Committee

- ▶ Academy Securities Inc.
- ▶ Amherst Peirpont Securities LLC
- ▶ Bancroft Capital LLC
- ▶ Barclays Capital Inc.
- ▶ **BGC Financial, L.P.**
- ▶ Blaylock Van LLC *
- ▶ BMO Capital Markets Corp.
- ▶ BNP Paribas Securities Corp.
- ▶ BNY Mellon Capital Markets LLC
- ▶ BofA Securities, Inc.
- ▶ Brean Capital LLC
- ▶ Cabrera Capital Markets LLC *
- ▶ Cantor Fitzgerald & Co.
- ▶ CastleOak Securities *
- ▶ CIBC World Markets Corp.
- ▶ Citigroup Global Markets Inc.
- ▶ C.L. King & Associates, Inc. *
- ▶ Commerz Markets LLC
- ▶ Commonwealth Australia Securities LLC
- ▶ Credit Agricole Securities (USA), Inc.
- ▶ Credit Suisse Securities (USA) LLC
- ▶ Daiwa Capital Markets America Inc.
- ▶ Deutsche Bank Securities Inc.
- ▶ Drexel Hamilton LLC
- ▶ Fifth Third Securities, Inc.
- ▶ FNH Financial Securities Corp.
- ▶ Goldman Sachs & Co. LLC
- ▶ Guggenheim Securities, LLC
- ▶ Hilltop Securities Inc.
- ▶ HSBC Securities (USA) Inc.
- ▶ ICAP Corporates, LLC
- ▶ ING Financial Markets, LLC
- ▶ Incapital LLC
- ▶ Jane Street Execution Services LLC
- ▶ Jefferies LLC
- ▶ J.P. Morgan Securities LLC
- ▶ Keybank Capital Markets
- ▶ Lloyds Securities Inc.
- ▶ Loop Capital Markets LLC *
- ▶ MarketAxess Corporation
- ▶ Mischler Financial Group, Inc. *
- ▶ Mizuho Securities USA Inc.
- ▶ Morgan Stanley & Co. LLC
- ▶ MUFG Securities America Inc.
- ▶ NatWest Markets Securities Inc.
- ▶ Nomura Securities International, Inc.
- ▶ Oppenheimer & Co, Inc.
- ▶ Performance Trust Capital Partners, LLC
- ▶ Piper Sandler & Co.
- ▶ PNC Capital Markets LLC
- ▶ R. Seelaus & Co., LLC *
- ▶ Rabo Securities USA, Inc.
- ▶ RBC Capital Markets, LLC
- ▶ Robert W. Baird & Co.
- ▶ Roberts & Ryan Investments, Inc.
- ▶ Scotia Capital (USA), Inc.
- ▶ SG Americas Securities LLC
- ▶ Siebert Williams Shank & Co LLC *
- ▶ SMBC Nikko Securities America Inc.
- ▶ Stifel, Nicolaus & Company, Incorporated
- ▶ StoneX Financial Inc.
- ▶ Susquehanna Financial Group, LP
- ▶ TD Securities (USA) LLC
- ▶ Tradeweb LLC
- ▶ Tradition Securities and Derivatives LLC
- ▶ Truist Securities Inc.
- ▶ UBS Securities LLC
- ▶ Vining Sparks IBG, L.P.
- ▶ Wells Fargo Securities, LLC

* *Minority or woman owned business enterprise.*

Note: In addition to the approved brokers indicated, purchases and sales may also be made directly with issuers whose credit is authorized per the City's investment policy.